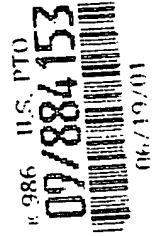


PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : Group Art Unit:
Steven B. Adler, et al. :
: Intellectual Property
Serial No.: : Law Department
Filed: : International Business
: Machines Corporation
: 11400 Burnet Road
: Austin, Texas 78758
Title: Using a Rules Model to :
Improve Handling of Personally :
Identifiable Information :
IBM Docket No.: AUS920010588US1 :
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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents

Washington, D. C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other

IBM Docket No.: AUS920010588US1

PATENT

information referred to herein is "prior art" for this invention unless specifically designated as such.

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The attached forms, PTO/SB/08A , provide a listing of patents, publications, or other information as required by 37 CFR 1.98 (a) (1) .

A copy of each of the items on PTO/SB/08A is supplied herewith.

Respectfully submitted,


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IBM Docket No.: AUS920010588US1

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	
				Filing Date	
				First Named Inventor	Steven B. Adler, et al.
				Group Art Unit	
				Examiner Name	
				Attorney Docket Number	AUS9-2001-0588-US1
Sheet	1	of	1		
<i>(use as many sheets as necessary)</i>					

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U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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